Attorney Docket No. NEC99P156-ms



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Katsumi MAEDA

Title:

(METH)ACRYLATE DERIVATIVE, POLYMER AND PHOTORESIST

COMPOSITION HAVING LACTONE STRUCTURE, AND METHOD FOR

FORMING PATTERN BY USING IT

Appl. No.:

09/750,116

Filing Date: 12/29/2000

Examiner:

Rosemary E. Ashton

Art Unit:

1752

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR § 1.56**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR § 1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR § 1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a 03/07/2006 SZEWDIE1 00000155 09750116 prima facie art reference against the claims of the present application 1896 180.00 OP

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The listed documents are being submitted in compliance with 37 CFR § 1.97(c), before the mailing date of either a final action under 37 CFR § 1.113, a notice of allowance under 37 CFR § 1.311, or an action that otherwise closes prosecution in the application.

All of the documents are in English.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP § 609.

Applicant's representative also reviewed the file history of the following application no.:

11/233,462.

The file history is available on PAIR. For the record, the '462 application resulted from a reissue of US Pat. No. 6,280,898, whose claims 1-20 were forever canceled by the time the '462 application was filed. In other words, only <u>narrow</u> claim 21 survived on the day that the '462 application's <u>broadening</u> reissue-application was improperly filed. In any case, the examiner is asked to consider the application and, if necessary, indicate on the record that it was considered.

A credit card payment form in the amount of \$180.00 is enclosed in accordance with 37 CFR § 1.17(p) to cover the fee associated with an information disclosure statement under 37 CFR § 1.97(c) in the amount of \$180.00.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 6 March 2006

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 672-5413

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George E. Quillin Attorney for Applicant

Registration No. 32,792

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Substitute for form	1449B/	PTO		Complete if Known	OIPE
	INFORMATION DI	SCLO	SURE	Application Number	09/750,116	1
	STATEMENT BY	APPLI	CANT	Filing Date	12-29-2000	MAD
	Date Submitted: M	arch 6	2006	First Named Inventor	Katsumi Maeda	B MAR 0 6 2006 &
	Date Submitted. Wi	arch o	, 2000	Group Art Unit	1752	1 Mil
(use as many sheets as necessary)				Examiner Name	Rosemary E. Ashto	n (A)
Sheet	1	of	2	Attorney Docket Number	NEC99P156-ms	THOEMARK SHE

		U.S. Patent D	looumont		Pages, Columns, Line		
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	Substitute fo	or form 1449B	/PTO		Complete if Known	010
	INFORMATI	ON DISCLO	SURE	Application Number	09/750,116	1
	STATEMEN	T BY APPLI	CANT	Filing Date	12-29-2000	13 MAR =
Date Submitted: March 6, 2006 (use as many sheets as necessary)				First Named Inventor	Katsumi Maeda	2 6, 8
				Group Art Unit	1752	TOPE W
				Examiner Name	Rosemary E. Ashto	n By de
Sheet	2	of	2	Attorney Docket Number	NEC99P156-ms	COMPROFFICE .

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Examiner Initials*	Cite No.1	Fore Office ³	eign Patent D Number ⁴	ocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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		NON PATENT LITERATURE DOCUMEN	ITS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		R.M. Moriarty et al., "(IV) Acetate Oxidation of Unsaturated Carb Mechanism of the Reaction," <i>Tetrahedron Letters</i> No. 36, pgs. 4 printed in Great Britain.			
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		Answer 1 of 7 Registry Copyright 2005 ACS on STN	MAR 1 0 2006		
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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